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## Non-deposition self-cleansing models for large sewer pipes

Multiple literature models and experimental datasets have been developed and collected to predict sediment transport in sewers. However, all these models were developed for smaller sewer pipes, i.e. using experimental data collected on pipes with diameter smaller than 500 mm. To address this issue, new experimental data was collected on a larger, 595 mm pipe located in the University of Los Andes laboratory. Two new self-cleansing models were developed by using this dataset. Both models predict the sewer self-cleansing velocity for the cases of non-deposition with and without deposited bed. The newly developed and existing literature models were then evaluated and compared on latest collected and previously published datasets. Models were compared in terms of prediction accuracy measured by using the Root Mean Squared Error and Mean Absolute Percentage Error. The results obtained show that the existing literature self-cleansing models tend to be overfitted, i.e. have a rather high prediction accuracy when applied to the data collected by the authors, but this accuracy deteriorates quickly when applied to the datasets collected by other authors. The newly developed models can be used for designing both small and large sewer pipes with and without deposited bed condition.

Keywords: bedload; deposited bed; non-deposition; sediment transport; self-cleansing.

## INTRODUCTION

38 Understanding sediment transport is important for designing self-cleansing sewer 39 systems. Sewer deposits are the source of several problems such as the reduction of 40 hydraulic capacity, blockage and premature overflows, among other problems (Shirazi et al. 2014; Ebtehaj et al. 2016; Torres et al. 2017; Kargar et al. 2019; Montes et al. 2019; 42 Safari 2019). Traditionally, conventional minimum velocities and shear stress values 43 have been suggested to define self-cleansing conditions, both in academic literature (Yao 44 1974; Ackers et al. 1996) and industry design manuals (British Standard Institution 1987; 45 Great Lakes 2004). Several authors (Yao 1974; Nalluri & Ab Ghani 1996) have shown

46 that the use of these traditional criteria and conventional values is likely to leads to 47 overdesigning the slope for small diameter pipes (i.e. pipes with diameter  $D$  smaller than 48 500 mm). To address this issue, laboratory investigations have been carried out (e.g. May 49 et al. (1989), Ab Ghani (1993), Vongvisessomjai et al. (2010), Safari et al. (2017) and 50 Alihosseini & Thamsen (2019), among other studies). These studies focused on 51 estimating the self-cleansing conditions and developing corresponding predictive models 52 in which the minimum self-cleansing velocity  $(V<sub>l</sub>)$  is a function of several input variables 53 such as the mean particle diameter  $(d)$ , the hydraulic radius  $(R)$ , the specific gravity of 54 sediments (SG), the dimensionless grain size  $(D_{gr})$  or the volumetric sediment 55 concentration  $(C_n)$ , among others.

56 According to Safari et al. (2018), above and similar experimental works have 57 studied two self-cleansing design criteria: (i) criteria for bed sediment motion and (ii) 58 criteria for sediment non-deposition in sewer pipes. Both criteria are useful for predicting 59 the self-cleansing conditions. In this paper, the non-deposition design criterion is studied 60 using an experimental approach.

61 Traditionally, non-deposition self-cleansing design criteria have been classified 62 in two general groups (Vongvisessomjai et al. 2010; Safari et al. 2018): (i) Non-63 deposition without deposited bed and (ii) Non-deposition with deposited bed of 64 sediments.

65 The first group, non-deposition without deposited bed, is a conservative and 66 frequently used criterion for designing self-cleansing sewer systems. In this context, 67 Robinson and Graf (1972), defined critical mean velocity (or minimum self-cleansing 68 velocity, as presented in this study) as the condition in which particles begin deposition 69 and form a stationary deposit at the bottom of the sewer pipe, i.e. the particles do not form 70 a permanent deposit.

71 Several studies have been carried out in this field, in which models are proposed 72 to predict a minimum self-cleansing velocity that guarantees the non-deposition of 73 particles in sewer pipes. In this context, Mayerle (1988) analysed the sediment transport 74 in a 152 mm diameter pipe using uniform sand ranging from 0.50 mm to 8.74 mm, and 75 sediment concentration between 20 and 1,275 ppm. May et al. (1989) analysed sediment 76 transport in a 300 mm diameter concrete pipe using non-cohesive material with a mean 77 particle diameter of 0.72 mm. May (1993) used a 450 mm diameter concrete pipe to study 78 the transport of sands with a mean particle diameter of 0.73 mm. Ab Ghani (1993) studied 79 the non-deposition sediment transport without deposited bed in three sewer pipes of 154 80 mm, 305 mm and 450 mm varying the particle diameter from 0.46 mm to 8.3 mm. Ota 81 (1999) carried out experiments in a 305 mm sewer pipe varying the particle diameter from 82 0.714 mm to 5.612 mm. Vongvisessomjai et al. (2010) developed two models for bedload 83 transport and two models for suspended load transport using data collected in two pipes 84 of 100 mm and 150 mm diameter. Safari et al. (2017) conducted experiments in a 85 trapezoidal channel and proposed an equation which includes the cross-section shape 86 factor ( $\beta$ ). Recently, Montes *et al.* (2018) collected experimental data from Ab Ghani 87 (1993) and using an Evolutionary Polynomial Regression Multi-Objective Strategy 88 (EPR-MOGA) developed new self-cleansing models.

89 The above studies resulted in a series of predictive models for the estimation of 90 self-cleansing velocity but, as it can be seen from the above, none of these studies 91 analysed this in the context of larger sewer pipes. As a result, all non-deposition self-92 cleansing models are only useful to design small sewer pipes  $(D \le 500 \text{ mm})$ .

93 Usually, the equations reported in the literature, for non-deposition without 94 deposited bed criterion are in the form of:

$$
\frac{V_l}{\sqrt{gd(SG-1)}} = aC_v{}^b \left(\frac{d}{R} \ or \ \frac{d}{D}\right)^{c_1} D_{gr}{}^{c_2} \lambda^{c_3} \tag{1}
$$

95 where g the gravitational acceleration;  $\lambda$  the Darcy's friction factor;  $D_{ar}$  the dimensionless grain size  $= d \left( \frac{SG-1}{m^2} \right)$  $\frac{\sigma-1}{v^2}$ భ 96 dimensionless grain size  $\left( = d \left( \frac{S G - 1}{r^2} \right)^3 \right)$ ; *SG* the specific gravity of sediments; *v* the 97 kinematic viscosity of water; *D* the pipe diameter; and  $a, b, c_1, c_2, c_3$  coefficients, which 98 depends of each study. For example, in the Ab Ghani (1993)'s model,  $a = 3.08$ ,  $b = 0.21$ , 99  $c_1 = -0.53$ ,  $c_2 = -0.09$  and  $c_3 = -0.21$ :

$$
\frac{V_l}{\sqrt{gd(SG-1)}} = 3.08 C_v^{0.21} \left(\frac{d}{R}\right)^{-0.53} D_{gr}^{-0.09} \lambda^{-0.21}
$$
 (2)

100 The second group, non-deposition with deposited bed, is a less conservative 101 criterion used for the design of large self-cleansing sewer systems  $(D > 500 \text{ mm})$  (Safari 102 *et al.* 2018). In this criterion, a small permanent sediment bed is allowed at the bottom of 103 the pipe. Several investigations (May et al. 1989; El-Zaemey 1991; Ab Ghani, 1993; 104 Butler *et al.* 1996) have found that a permanent sediment bed, with mean proportional 105 sediment depth  $(y_s/D)$  close to 1.0%, increases the sediment transport capacity. By 106 contrast, strong supervision of the systems is required because it is close to critical 107 condition (Vongvisessomjai et al. 2010).

108 Based on the aforementioned, several studies have been carried out for describing 109 this phenomenon using predictive numerical models based on experimental data. El-110 Zaemey (1991)'s experiments were carried out in a 305 mm diameter pipe using bed 111 sediment thickness of 47 mm, 77 mm and 120 mm, and granular sediments ranging from 112 0.53 mm to 8.4 mm. Perrusquía (1992) studied the sediment transport in a 225 mm 113 diameter concrete pipe using uniform-sized sands of 0.9 mm and 2.5 mm. May (1993) 114 conducted experiments in a 450 mm diameter pipe using two uniform sands with a mean 115 particle diameter of 0.73 mm and 0.47 mm. Ab Ghani (1993) used a 450 mm diameter 116 pipe varying the deposited bed width  $(W_h)$  from 47 mm to 384 mm. Nalluri *et al.* (1997) 117 used the data collected from El-Zaemey (1991) and modified the May et al. (1989) model 118 to predict self-cleansing conditions in deposited bed sewers. Safari et al. (2017) used the 119 Particle Swarm Optimization (PSO) algorithm to improve the May (1993) model; Good 120 results were obtained with this new model. Recently, Safari and Shirzad (2019) defined 121 an optimum deposited bed thickness providing design charts, and a new self-cleansing 122 model for sewers with deposited bed was proposed.

123 Models found in the literature to predict the non-deposition bedload transport with 124 deposited bed are in terms of the deposited bed width or the mean proportional sediment 125 bed. As an example, El-Zaemey (1991)'s model is in the form, where  $Y$  is the water level 126 and  $W<sub>b</sub>$  the deposited bed width:

$$
\frac{V_l}{\sqrt{gd(SG-1)}} = 1.95 C_v^{0.17} \left(\frac{W_b}{Y}\right)^{-0.40} \left(\frac{d}{D}\right)^{-0.57} \lambda^{0.10}
$$
 (3)

127 As can be seen from the aforementioned, several authors have studied the 128 sediment transport modes to develop new self-cleansing criteria. Each author has 129 developed predictive models which are useful to design new sewer infrastructure. 130 However, various limitations have been identified by using self-cleansing models. For 131 example, Safari et al. (2018) pointed out that non-deposition without deposited bed is 132 useful only in small sewers; for large pipe diameters, the non-deposition with deposited 133 bed criterion must be applied. However, models developed for deposited bed conditions 134 present poor accuracy when different datasets are used (Nalluri *et al.* 1997). Recently, 135 Safari et al. (2018) highlighted the poor performance of the equations found in this 136 criterion and recommend further experimental research in this field. In addition, 137 Perrusquía (1992) suggest further experimental work, especially in large sewer pipe 138 diameters (i.e. pipe diameter large than 500 mm).

139 In this study, new self-cleansing models for non-deposition without deposited bed 140 and deposited bed are developed. A 595 mm diameter PVC is used to collecting 141 experimental data. The aim is improving sediment transport prediction in large sewer 142 pipes, based on a new experimental dataset.

#### 143 EXPERIMENTAL METHODS

144 Experimental data were collected on a 595 mm diameter and 10.5 m long PVC pipe, 145 located in the University of Los Andes Hydraulics Laboratory, Colombia. This pipe is 146 supported on a variable steel truss allowing pipe slopes between 0.042% and 3.44%. The 147 pipe is directly connected to a 30  $m<sup>3</sup>$  upstream tank which is supplied through a 40 HP 148 pump. The flow rate is controlled using a manually operated valve allowing it to vary 149 from  $0.6$  L s<sup>-1</sup> to  $67.3$  L s<sup>-1</sup>. The pipe has four-point gauges to measure the water depth 150 along the entire length of the flume. A sediment feeder is used to supply granular material 151 with a mean particle diameter ranging from 0.35 mm to 2.60 mm to the PVC pipe. The 152 specific gravity of sediments varies from 2.64 to 2.67, which was calculated using a 153 pycnometer method-procedure, according to ASTM D854-10 (ASTM D854-14, 2014). 154 Figure 1 shows the general scheme of the experimental setup.

#### 155 **[Figure 1 near here]**

156 The experiments were carried out under uniform flow conditions, i.e. no 157 variations in flowrate and water depth, for both non-deposition criteria. The data 158 collection strategies are similar for both cases; however, the main difference is related to 159 the sediment supply to the PVC pipe, which depends on the criterion to be studied. In this 160 context, for non-deposition without deposited bed criterion, the sediment feeder supplies 161 the material until the particles can barely move with the water and do not form a 162 permanent deposit at the bottom of the pipe. In contrast, for non-deposition with deposited 163 bed, sediment is supplied to form a deposited loose bed along the entire length of the 164 flume. This methodology follows the guidelines of several previous experimental works 165 carried out by different authors (e.g. Novak & Nalluri 1975; Perrusquía 1991; Ab Ghani 166 1993; Ota 1999; Vongvisessomjai et al. 2010, Safari et al. 2017 and Alihosseini & 167 Thamsen 2019, among others experimental studies). The methodology used to collect the 168 data in both cases is described below.

## 169 Non-deposition without deposited bed

170 The first case considered in this paper is the non-deposition without deposited bed 171 condition. The collection of experimental data is described as follows. Firstly, the pipe 172 slope is mechanically adjusted and the value is measured using a dumpy level. Secondly, 173 the flow control valve is opened and a constant flow of water is supplied to the pipe. The 174 flowrate is measured with a real-time electromagnetic flowmeter which is connected 175 directly to the pipe feeding the upstream tank. Thirdly, the water levels are measured 176 using the four-point gauges. The downstream tailgate is adjusted until the water depth 177 varies less than  $\pm 2$  mm between the four-point gauges, which is the condition in which 178 uniform flow conditions can be assumed (Ab Ghani 1993). Using the values recorded of 179 flowrate and water level, the mean velocity is computed. Fourthly, when uniform flow 180 conditions are achieved, the sediment is supplied to the pipe. The sediment feeder is 181 slowly opened until the non-deposition condition is obtained. This condition, also known 182 as "flume traction", (i.e. no presence of separated dunes or deposition of stationary 183 material at the bottom of the pipe) is checked by visual inspection. Finally, the sediment 184 supply rate  $(\ddot{m})$  is estimated by weighing the amount of material that passes in a given 185 time at the outlet of the sediment feeder. The sediment discharge is estimated as  $Q_s =$ 186  $\dot{m}/\rho_s$ , where  $\rho_s$  is the particle density. The calculated sediment discharge is used to 187 compute the volumetric sediment concentration  $(C_v = Q_s/Q)$ . The above experimental 188 procedure is repeated for several flowrates, pipe slopes and sediment sizes. A total of 107 189 data for the non-deposition without deposited bed condition were collected using above 190 experimental approach, as shown in Table 1.

### 191 **[Table 1 near here]**

#### 192 Non-deposition with deposited bed

193 The methodology used to collect the experimental data for the 'non-deposition with 194 deposited bed' case is similar to the used for the 'non-deposition without deposited bed' 195 case. The main difference relates to the supply of sediment into the pipe, as the 'non-196 deposition with deposited bed' case requires constant sediment thickness throughout the 197 entire length of the test. The whole data collection strategy is described as follows. Firstly, 198 an initial pipe slope is mechanically adjusted, and the flow control valve is opened. As a 199 result, constant water flow is supplied to the pipe, and its value is recorded with the real-200 time electromagnetic flowmeter. Secondly, the sediment feeder is slowly opened until the 201 material forms a permanent deposited loose bed, which is continuously monitored by 202 visual inspection. Thirdly, the water levels are recorded using the four-point gauges, and 203 the uniform conditions are checked. If non-uniform conditions are observed, the 204 downstream tailgate is varied until water level differences are smaller than  $\pm$  2 mm 205 between the four-point gauges. In this step, if the non-deposition with deposited bed 206 condition changes (because a permanent deposit or dunes are formed by the change in 207 water level), the pipe slope and the tailgate are iteratively adjusted until the uniform flow 208 conditions and a constant sediment width are observed for at least 15 minutes. Finally, 209 the water level, the pipe slope and the sediment width values are recorded, and the 210 sediment thickness (using the sediment width value) and flow velocity (using flowrate

211 and water level) are calculated. Finally, the sediment supply rate is measured at the outlet 212 of the pipe. The sediment that passes in a given time is collected, dried and weighed, and 213 the sediment discharge is calculated, as described in the "Non-deposition without 214 deposited bed" section. Five samples of sediments are collected to validate that the 215 sediment supply rate is constant during the entire test. The volumetric sediment 216 concentration is computed using the sediment discharge and the flowrate. The 217 experimental procedure described is repeated for several flowrates, pipe slopes and 218 sediment sizes. A total of 54 experiments were carried out to collect data for the non-219 deposition with deposited bed case. The experimental data collected this way is presented 220 in Table 2.

#### 221 **[Table 2 near here]**

#### 222 Literature data

223 Other datasets were collected from the literature for the self-cleansing models shown in 224 Table 3. A total of 483 and 400 data for non-deposition without deposited bed and with 225 deposited bed, respectively, were collected. These data are used to evaluate the 226 performance of the self-cleansing models proposed in this study.

#### 227 **[Table 3 near here]**

228 NEW SELF-CLEANSING MODELS

229 The Least Absolute Shrinkage and Selection Operator (LASSO) (Tibshirani 1996) 230 regression method is used in this study to develop new self-cleansing models. The 231 LASSO method can be seen as an extension of the Ordinary Least Squares (OLS), 232 because it minimizes the value of the Residual Sum of Squares (RSS). However, this is a 233 shrinkage method for feature selection which solves itself the problem of 234 multicollinearity by increasing the bias of the regression in seek of decrease in the

235 variance. Additionally, it uses the absolute value of the coefficients in the shrinkage 236 penalty, what allows this method to reduce some of the regression coefficients to an exact 237 value of zero. This helps to avoid problems related to model interpretation and overfitting 238 (James et al. 2013). The LASSO method coefficients minimize the following expression:

$$
\min \left[ \sum_{i=1}^{n} \left( y_i - \left( \beta_0 + \sum_{j=1}^{p} \beta_j x_{ij} \right) \right)^2 + \lambda_L \sum_{j=1}^{p} |\beta_j| \right] = \min \left[ \text{RSS} + \lambda_L \sum_{j=1}^{p} |\beta_j| \right] \tag{4}
$$

239 where  $y_i$  are the observed values; *n* the number of data;  $\beta_0$  the intercept value;  $\beta_j$  the model parameter j;  $x_{ij}$  the input variable set and  $\lambda_L \sum_{i=1}^{N} |\beta_i|$  $\boldsymbol{p}$  $j=1$ 240 model parameter j;  $x_{ij}$  the input variable set and  $\lambda_L$   $\sum |\beta_i|$  the shrinkage penalty 241 (James et al. 2013).

242 Selection of model input variables to represent the particle Froude number are 243 made based on the variables that have the greatest impact on sediment transport. Several 244 authors (Ebtehaj & Bonakdari 2016a, b; May et al. 1996) found that the size and 245 roughness of the pipe (represented by the Darcy friction factor and the pipe diameter), the 246 relative flow depth, the diameter of particle size, the specific gravity of sediments and the 247 volumetric sediment concentration are the input variables which predict better the 248 sediment transport. These input variables can be divided in four dimensionless groups 249 called: (i) Transport: defined by the volumetric sediment concentration; (ii) Sediment: 250 defined by the dimensionless grain size, the specific gravity of sediments and the  $d/D$ 251 variable; (iii) Transport mode: defined by  $d/R$ ,  $D^2/A$ ,  $y_s/D$ ,  $W_b/Y$  and  $R/D$ , and (iv) 252 Flow resistant: defined by the Darcy friction factor. Based on the above mentioned, the 253 input variables vector  $x_{ij}$  should includes the previous variables to predict the particle 254 Froude number.

255 Two new self-cleansing models are developed for the two aforementioned 256 sediment non-deposition conditions. The R package 'glmnet' (Friedman *et al.* 2010) is

257 used to apply the LASSO method. In both cases the model output variable is the threshold 258 particle Froude number  $F_{R_i}^*$  and the model input variables are selected automatically 259 from the set  $x_{ij}$  by solving the following regression problem:

$$
\min \left[ \sum_{i=1}^{n} \left( \ln(F_{Ro_i}^*) - \ln \left( \beta_0 + \sum_{j=1}^{p} \beta_j x_{ij} \right) \right)^2 + \lambda_L \sum_{j=1}^{p} |\beta_j| \right]
$$
  
\n
$$
= \min \left[ \sum_{i=1}^{n} \left( \ln(F_{Ro_i}^*) - \ln(F_{R_i}^*) \right)^2 + \lambda_L \sum_{j=1}^{p} |\beta_j| \right]
$$
  
\n
$$
x_{ij} = \left[ \frac{Y}{D}, D_{gr}, \lambda, \frac{d}{R}, \frac{d}{D}, \frac{d}{A}, \frac{D^2}{A}, C_v, \frac{W_b}{Y}, \frac{y_s}{D} \right]
$$
 (6)

260 where  $F_{R0i}^*$  and  $F_{Ri}^*$  are the observed and estimated particle Froude number, defined as:

$$
F_{Ro}^{\ \ *} = \frac{V_L}{\sqrt{gd(SG - 1)}}\tag{7}
$$

$$
F_{R_i}^* = \beta_0 + \sum_{j=1}^p \beta_j x_{ij}
$$
 (8)

261 where  $V_L$  is the self-cleansing velocity,  $g$  is gravitational constant,  $SG$  is the specific 262 gravity of the sediment,  $S_0$  the pipe slope, D the pipe diameter, A the wetted area, R the 263 hydraulic radius,  $D_{gr}$  the dimensionless grain size,  $\lambda$  the Darcy friction factor, d is mean 264 particle diameter, Y the water level,  $C_v$  the volumetric sediment concentration and  $W_b$  the 265 bed sediment width. Applying the LASSO method to 107 experimental data collected, 266 the following model is obtained for the non-deposited conditions (linearized version 267 shown in equation 9 and non-linear in equation 10):

$$
\ln(F_{R_i}^*) = 1.566 + 0.058 \ln(\lambda) - 0.593 \ln\left(\frac{d}{R}\right) + 0.209 \ln(C_v)
$$
\n(9)

$$
F_{R_i}^* = 4.79\lambda^{0.058} \left(\frac{d}{R}\right)^{-0.593} C_v^{0.209}
$$
 (10)

268 The same analysis was carried out for non-deposition with deposited bed 269 condition. In this case, the 54 data collected in the laboratory were used as observed 270 information. The model obtained is similar to the one for non-deposition without 271 deposited bed condition (see equations 9-10) with difference being that, the input 272 variables  $y_s/D$  and  $D_{ar}$  appear in the final expression:

$$
\ln(F_{R_i}^*) = 1.764 - 0.169 \ln(D_{gr}) + 0.144 \ln(C_v) - 0.104 \ln\left(\frac{y_s}{D}\right) - 0.305 \ln\left(\frac{d}{R}\right) - 0.059 \ln(\lambda)
$$
\n(11)

$$
F_{Ri}^* = 5.83 D_{gr}^{-0.169} C_v^{0.144} \left(\frac{y_s}{D}\right)^{-0.104} \left(\frac{d}{R}\right)^{-0.305} \lambda^{-0.059} \tag{12}
$$

#### 273 VALIDATION OF SELF-CLEANSING MODELS

274 Self-cleansing models shown in equations (10) and (12) are tested with the datasets 275 obtained from the literature (as shown in Table 3) with the aim to (a) further evaluate the 276 accuracy of the self-cleansing models shown here and (b) compare these to literature 277 models, all under different hydraulic conditions and sediment characteristics used in the 278 literature. In addition, the literature self-cleansing models shown in Table 3, all of which 279 were developed with the data collected on smaller pipes (i.e. less than 500 mm), are tested 280 with the data collected on the 595 mm PVC pipe to further assess their prediction 281 accuracy under these conditions.

282 Model prediction accuracy is estimated using two performance indicators, Root 283 Mean Squared Error (RMSE) and Mean Absolute Percentage Error (MAPE):

RMSE = 
$$
\sqrt{\frac{\sum_{i=1}^{n} (F_{Ro_i}^* - F_{R_i}^*)^2}{n}}
$$
 (13)

$$
MAPE = \frac{100}{n} \sum_{i=1}^{n} \left| \frac{F_{Ro_i}^* - F_{R_i}^*}{F_{Ro_i}^*} \right|
$$
 (14)

284 Note that a value of RMSE and MAPE close to 0 indicates high model prediction 285 accuracy, i.e. good fit between the observed and predicted data. The RMSE and MAPE

286 values obtained for the case of non-deposition without deposited bed are presented in 287 Table 4.

## 288 **[Table 4 near here]**

289 The following observations can be made from Table 4:

290 • Mayerle (1988) model seems to be overfitted as it has high prediction accuracy 291 (RMSE = 4.119; MAPE = 10.079) only for the data collected in their own 292 experiments. When this model is applied to other datasets, the results are not 293 satisfactory. For example, when Mayerle (1988) model is applied to the data 294 collected in our experiments, poor performance is obtained (as shown in Figure 295 2). This is due to inability of this model to extrapolate predictions beyond the 296 range of data that was used for its development.

297 • Results obtained by using the May *et al.* (1989) model are similar to the Mayerle 298 (1988) model results. If the May et al. (1989) model is used for designing large 299 self-cleansing sewer pipes, the model tends to overestimate the minimum velocity 300 required to avoid particle deposition. Additionally, an incipient motion threshold 301 velocity is required to use this model. This value needs to be estimated on the 302 basis of experimental data and regression equations obtained for certain sediment 303 characteristics which is not pragmatic. In this context, Safari et al. (2018) outlined 304 several studies that attempt to predict incipient motion threshold velocity using 305 equations based on experimental data.

306 Ab Ghani (1993) model presents better results in comparison with Mayerle (1988) 307 and May et al. (1989) models. The model includes two additional input variables 308 (the dimensionless grain size and the Darcy friction factor) to predict the particle 309 Froude number. However, the value of the exponent related to the dimensionless 310 grain size is low (-0.09), which shows that this variable is not a significant input

311 of this model. In addition, this model has good prediction performance when the 312 595 mm pipe diameter data (for  $F_{Ro_i}^* < 8.0$ ) is used (as shown in Figure 2), for 313 the same reason abovementioned.

314 • Ota (1999) model uses a similar group of input variables to estimate the self-315 cleansing velocity. This model has similar prediction results to Mayerle (1988) 316 and May et al. (1989) models, with acceptable accuracy for small particle Froude 317 numbers and poor prediction accuracy for larger particle Froude number values 318  $(F_{R_i}^* > 7.0)$ , as shown in Figure 2.

319 • Vongvisessomjai *et al.* (2010) model shows good performance in general for all 320 datasets. However, when this equation is applied to the 595 mm PVC pipe 321 diameter data, the model tends to overestimate the particle Froude number (as 322 shown in Figure 2). In comparison with Ab Ghani (1993)'s model, this model is 323 simpler and does not consider the dimensionless grain size and the Darcy friction 324 factor in the estimation of the modified Froude number (structure is similar to Ota 325 (1999) equation) which is an advantage. This model seems to be more general and 326 good in the prediction on self-cleansing conditions for pipe diameters less than 327 500 mm.

328 • Montes *et al.* (2018) model tends to represent better than previous self-cleansing 329 models, the observed data for all the datasets evaluated. This model has the same 330 structure as Vongvisessomjai et al. (2010) and Ota (1999) models with values of 331 exponents of different input variables being slightly different. The model shows 332 high accuracy for all datasets but is still inferior to the new model shown in 333 equation (10) (see below).

334 The new model shown in equation (10) has high prediction accuracy for all 335 datasets, especially for the data collected using larger sewer pipes. Even when this



360 collected on the 595 mm PVC pipe (as shown in Figure 4) with RMSE and MAPE 361 values of 2.117 and 27.483, respectively. Having said this, this model is still 362 inferior to the new model shown in Equation (12) for the data collected on a large 363 diameter pipe.

- 364 May (1993) model tends to underestimate the minimum self-cleansing values on 365 large sewer pipes, as shown in Figure 4c. As a result, particle deposition problems 366 could be presented in real sewer systems. Additionally, this model has as an input  $367$  the dimensionless transport parameter  $(n)$ , which was calculated for a limit 368 sediment and hydraulic conditions. Based on the above, this transport parameter 369 is difficult to estimate, and its prediction does not present good accuracy with 370 experimental data. Full details can be found on May (1993).
- 371 Safari *et al.* (2017) model results are similar to May (1993) and Ab Ghani (1993) 372 models when are compared in large sewer pipes, i.e. our data. These models tend 373 to underestimate the minimum self-cleansing velocity in large sewer pipes. 374 However, better results than El-Zaemey (1991) can be observed, as shown in 375 Table 5.
- 376 Safari and Shirzad (2019) model results are similar to May (1993) and Safari *et* 377 al. (2017), i.e. the self-cleansing calculation tends to be underestimated in large 378 sewer pipes. In contrast, this model presents a simpler structure because it does 379 not consider the dimensionless parameter of transport  $(\eta)$  and the calculation of 380 velocity is explicit. Results tend to not be satisfactory in large sewer pipes (as 381 shown in Figure 4).
- 382 New model shown in equation (12) estimates the self-cleansing conditions across 383 all experimental datasets with acceptable accuracy, as shown in Figure 5. This 384 model is explicit for calculating self-cleansing velocity and considers similar

385 group of parameters than the literature model. Based on the results obtained, this 386 model can be used to design new self-cleansing sewer pipes considering the non-387 deposition with deposited bed criterion.

#### 388 [Figure 4 and Figure 5 near here]

### 389 CONCLUSIONS

390 This paper study the non-deposition criteria applied in large sewer pipes. A set of 107 391 data and 54 data, for non-deposition without deposited bed and deposited bed, 392 respectively, were collected at laboratory scale. These experiments were carried out 393 varying steady flow conditions and sediment characteristics. The data collected were used 394 to test the performance of typical self-cleansing equations found in the literature. In 395 addition, based on LASSO technique two new self-cleansing models were obtained for 396 each non-deposition criterion. These new models were tested with data collected from 397 literature and the performance was measured by using the Root Mean Squared Error and 398 Mean Absolute Percentage Error.

#### 399 Based on the results obtained, the following conclusions are made:

400 (1) The two new self-cleansing models developed and presented here have overall 401 best predictive performance for two different sediment non-deposition criteria 402 when compared to a selection of well-known literature models. This is especially 403 true for predictions made on larger diameter pipes (500 mm and above).

404 (2) The existing literature self-cleansing models tend to be overfitted, i.e. demonstrate 405 a rather high prediction accuracy when applied to the data collected by the authors, 406 but this accuracy deteriorates quickly when applied to the datasets collected by 407 other authors. For large sewer pipes, these models, being developed for data sets 408 collected on smaller diameter pipes, tend to overestimate the threshold self-

409 cleansing velocities, especially in the case of non-deposition without deposited 410 bed.

411 Further research is recommended to test the performance of new models in larger 412 sewer pipes and considering different pipe materials, sediment characteristics and 413 hydraulic conditions. In addition, experiments under non-steady conditions are essential 414 to test the sediment dynamics in real sewer systems.

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## 522 SUPPLEMENTARY MATERIAL

- 523 The following files are available online:
- 524 (1) Video of sediment transport as flume traction
- 525 (2) Video of sediment moving as a deposited loose bed.

- 527 Table 1. Non-deposition without deposited bed experimental data collected in the 595 528 mm PVC pipe.
- 529 Table 2. Non-deposition with deposited bed data experimentally collected in the 595 mm 530 PVC pipe.
- 531 Table 3. Self-cleansing models found in literature useful to predict the non-deposition 532 conditions in sewer pipes.
- 533 Table 4. Performance of literature and the new self-cleansing model (Equation (10))
- 534 obtained for non-deposition without deposited bed criterion. Bolded values show the best
- 535 performing model on each data set analysed.
- 536 Table 5. Performance of literature models and the new self-cleansing model (Equation
- 537 (12)) obtained for non-deposition with deposited bed criterion. Bolded values show best
- 538 performing model on each data set analysed.
- 539 Figure 1. Schematic diagram of the experimental setup.
- 540 Figure 2. Comparison of performance of non-deposition without deposited bed models
- 541 using the experimental data collected on 595 mm PVC pipe. a) Mayerle (1988); b) May
- 542 et al. (1989); c) Ab Ghani (1993); d) Ota (1999); e) Vongvisessomjai et al. (2010); f)
- 543 Montes et al. (2018) and g) Equation (10).
- 544 Figure 3. Comparison of performance of Equation (10) using the experimental data
- 545 collected on literature. Data from: a) Mayerle (1988); b) May et al. (1989); c) Ab Ghani
- 546 (1993); d) May (1993); e) Ota (1999) and f) Vongvisessomjai et al. (2010).
- 547 Figure 4. Comparison of performance of non-deposition with deposited bed models
- 548 using the experimental data collected on 595 mm PVC pipe. Model from: a) El-Zaemey
- 549 (1991); b) Ab Ghani (1993); c) May (1993); d) Nalluri et al. (1997); e) Safari et al.
- 550 (2017) and f) Equation (12).
- 551 Figure 5. Comparison of performance of Equation (12) using the experimental data
- 552 collected from literature. Data from: a) Perrusquía (1991); b) El-Zaemey (1991); c) May
- 553 (1993); and d) Ab Ghani (1993).

554 Table 1. Non-deposition without deposited bed experimental data collected in the 595

555	mm PVC pipe.								
	Run No.	$\boldsymbol{d}$	SG	$c_v$	$\boldsymbol{R}$	$S_o$	$V_l$		
		(mm)	$\left( -\right)$	(ppm)	(mm)	$(\%)$	(m/s)		
	$\,1$	1.51	2.66	10,119	9.88	1.78	0.61		
	$\sqrt{2}$	1.51	2.66	11,609	7.27	1.78	0.51		
	$\mathfrak{Z}$	1.51	2.66	3,940	11.83	1.57	0.67		
	$\overline{\mathbf{4}}$	1.51	2.66	3,803	14.41	1.57	0.84		
	5	1.51	2.66	3,892	18.89	1.22	1.02		
	6	1.51	2.66	3,681	14.41	0.96	0.77		
	7	1.51	2.66	19,957	7.92	3.43	0.63		
	$8\,$	1.51	2.66	14,854	9.23	3.43	0.77		
	9	1.51	2.66	16,731	10.53	3.43	0.97		
	10	1.51	2.66	13,608	12.48	2.74	0.75		
	11	1.51	2.66	13,841	10.53	2.74	0.75		
	12	0.35	2.65	8,720	9.88	2.70	0.80		
	13	0.35	2.65	6,431	10.53	1.43	0.73		
	14	0.35	2.65	588	14.41	0.25	0.45		
	15	0.35	2.65	736	16.98	0.25	0.56		
	16	0.35	2.65	700	20.16	0.25	0.62		
	17	0.35	2.65	726	23.32	0.68	0.71		
	18	0.35	2.65	1,227	25.82	0.68	0.77		
	19	0.35	2.65	2,499	19.53	1.23	0.85		
	20	0.35	2.65	2,280	20.79	0.89	0.93		
	21	0.35	2.65	1,909	27.38	0.89	0.93		
	22	0.35	2.65	4,155	14.41	1.36	0.71		
	23	0.35	2.65	3,279	18.89	1.36	0.84		
	24	0.35	2.65	2,498	22.06	1.36	0.97		
	25	0.35	2.65	2,051	25.51	1.36	1.02		
	26	0.47	2.66	4,012	13.77	1.36	0.74		
	27	0.47	2.66	2,804	18.89	1.36	0.88		
	28	0.47	2.66	3,153	22.06	1.36	0.98		
	29	0.47	2.66	3,410	25.20	1.36	1.02		
	30	0.47	2.66	1,837	27.07	0.89	0.91		
	31	0.47	2.66	1,658	24.26	0.89	0.84		
	32	0.47	2.66	1,668	20.16	0.89	0.80		
	33	0.47	2.66	3,276	14.41	0.89	0.66		
	34	$0.47\,$	2.66	796	28.93	0.42	0.82		
	35	0.47	2.66	667	33.85	0.42	0.87		
	36	0.47	2.66	913	40.80	0.42	0.98		
	37	0.47	2.66	$\mathbf{1}$	79.69	0.04	0.45		
	38	0.47	2.66	17	95.27	0.04	0.56		
	39	0.47	2.66	20	107.70	0.04	0.65		
	40	0.47	2.66	47	119.29	0.08	0.73		
	41	0.47	2.66	43	100.77	0.17	0.79		





557 Table 2. Non-deposition with deposited bed data experimentally collected in the 595

# 558 mm PVC pipe.







# 560 Table 3. Literature self-cleansing models for predicting the non-deposition sediment conditions in sewer pipes







570

572 Table 5. Performance of literature models and the new self-cleansing model (Equation (12)) obtained for non-deposition with deposited bed 573 criterion. Bolded values show best performing model on each data set analysed.

Data Set	Performance Index	<b>Self-cleansing model</b>							
		<b>El-Zaemey</b> (1991)	Ab Ghani (1993)	<b>May (1993)</b>	Safari et al. (2017)	Safari and Shirzad (2019)	New model <b>Equation</b> (12)		
Perrusquía (1991)	<b>RMSE</b>	0.786	0.576	2.669	2.883	0.521	0.464		
	<b>MAPE</b>	17.411	10.833	63.261	71.279	10.550	10.348		
El-Zaemey (1991)	<b>RMSE</b>	0.494	0.814	2.580	2.749	0.757	0.659		
	<b>MAPE</b>	10.436	13.408	60.744	71.963	14.251	11.922		
May (1993)	<b>RMSE</b>	3.409	1.153	3.561	3.562	1.409	1.014		
	<b>MAPE</b>	49.757	11.702	45.381	47.177	18.734	11.154		
Ab Ghani (1993)	<b>RMSE</b>	5.105	2.407	3.724	3.722	1.316	1.161		
	<b>MAPE</b>	72.772	33.614	47.580	48.831	16.544	14.178		
Current study	<b>RMSE</b>	4.217	2.117	2.753	2.696	3.059	1.565		
	<b>MAPE</b>	54.510	27.483	27.487	26.186	21.047	10.355		



576 Figure 1. Schematic diagram of the experimental setup



580 (1988); b) May et al. (1989); c) Ab Ghani (1993); d) Ota (1999); e) Vongvisessomjai et

581 *al.* (2010); f) Montes *et al.* (2018) and g) Equation (10).



583 Figure 3. Comparison of performance of Equation (10) using the experimental data

584 collected from literature. Data from: a) Mayerle (1988); b) May et al. (1989); c) Ab

585 Ghani (1993); d) May (1993); e) Ota (1999) and f) Vongvisessomjai et al. (2010).



589 (1991); b) Ab Ghani (1993); c) May (1993); d) Nalluri et al. (1997); e) Safari et al.

590 (2017) and f) Equation (12).



592 Figure 5. Comparison of performance of Equation (12) using the experimental data 593 collected from literature. Data from: a) Perrusquía (1991); b) El-Zaemey (1991); c) May 594 (1993); and d) Ab Ghani (1993).